

# APPENDIX A

## License Bond

**Bond Number:** \_\_\_\_\_

**KNOW ALL MEN BY THESE PRESENTS** that \_\_\_\_\_  
as Principal, and \_\_\_\_\_ of \_\_\_\_\_,  
as Surety, are firmly bound unto Pennsylvania Public Utility Commission, as obligee, in the penal  
sum of \_\_\_\_\_ (\$ \_\_\_\_\_), lawful money of the United  
States of America for the payment of which, well and truly to be made, we bind ourselves, our  
heirs, executors and administrators, successors and assigns, jointly, severally, and firmly by these  
presents.

SIGNED, SEALED AND DATED THIS \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

**THE CONDITION OF THIS OBLIGATION IS SUCH**, that Whereas the Principal  
has made application for a license to the Obligee to offer, render, furnish or supply electricity or  
electric generation services to the public.

**NOW THEREFORE**, the condition of this obligation is such that the Principal must act  
in accordance with Section 2809(c)(1)(i) of the Public Utility Code, 66 Pa. C.S. 2809(c)(1)(i), to  
assure compliance with applicable provisions of the Public Utility Code, 66 Pa. C.S. 101, et seq.  
and the rules and regulation of the Pennsylvania Public Utility Commission by the Principal as a  
licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by  
Section 2810 of the Public Utility Code, 66 Pa. C.S. 2810; and to ensure the supply of electricity  
at retail in accordance with contracts, agreements or arrangement. Payment of claims shall have  
the following priority: (I) The Commonwealth of Pennsylvania; (II) Electric Distribution  
Companies for the reimbursement of Gross Receipts Tax; and (III) Private Individuals. Proceeds  
of the bond may not be used to pay any penalties or fines levied against the Principal for  
violations of the law, or for the payment of any other tax obligations owed to the Commonwealth  
of Pennsylvania.

**NOW THEREFORE**, if the Principal shall, during the period commencing on the  
aforesaid date, faithfully observe and honestly comply with such rules, regulations and statutes  
that are applicable to an electric generation supplier licensed in Pennsylvania and fulfills its  
obligation to pay the Gross Receipts Tax to the Commonwealth, and to deliver electricity at retail  
in accordance with contracts, agreements and arrangements, require the execution of this bond,  
then this obligation shall become void and of no effect.

**PROVIDED**, the Surety may terminate its future liability under this Bond sixty (60) days  
after furnishing written notice of such intention to terminate. This termination shall not affect the  
liability of the Surety and the Principal for any liability incurred by the Principal prior to the  
effective date of such termination. Any claim under this bond must be instituted within three (3)  
months of the effective date of termination.

**THIS BOND WILL EXPIRE** \_\_\_\_\_, but may be continued by continuation certificate signed by \_\_\_\_\_ and \_\_\_\_\_ may at any time terminate its liability by giving sixty (60) days written notice of the Oblige, and \_\_\_\_\_ shall not be liable for any default after such sixty-day notice period, except for defaults occurring prior thereto.

Signed, sealed and dated Month Day, Year.

\_\_\_\_\_  
Principal

COUNTERSIGNED BY

\_\_\_\_\_  
Surety

\_\_\_\_\_  
Registered Agent

By \_\_\_\_\_  
Attorney-in-Fact

# INTEGRITY SURETY BOND APPLICATION

AGENCY NAME: \_\_\_\_\_ AGENCY CONTACT \_\_\_\_\_  
 AGENCY PHONE: \_\_\_\_\_ AGENCY FAX: \_\_\_\_\_ E-MAIL: \_\_\_\_\_  
 AGENCY ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**CURRENT OR EXPIRING QUOTE WE ARE LOOKING TO BEAT?** \_\_\_\_\_

**NAME OF PREVIOUS SURETY COMPANY WRITING THE BOND?** \_\_\_\_\_

**SECTION I: BOND APPLIED FOR:**

TYPE OF BOND: \_\_\_\_\_ EFF.DATE: \_\_\_\_\_ EXP.DATE: \_\_\_\_\_  
 TYPE OF COMPANY CORP  LLC  DBA  PARTNERSHIP  AMOUNT: \_\_\_\_\_  
 OBLIGEE: \_\_\_\_\_

OBLIGEE ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**SECTION II: GENERAL INFORMATION**

APPLICANT'S NAME: \_\_\_\_\_ SPOUSE NAME \_\_\_\_\_  
 SS#: \_\_\_\_\_ SPOUSE SS# \_\_\_\_\_ HOME PHONE: \_\_\_\_\_  
 RESIDENTIAL ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

BUSINESS NAME: \_\_\_\_\_

BUSINESS PHONE: \_\_\_\_\_ BUSINESS FAX: \_\_\_\_\_ Client E-mail \_\_\_\_\_

BUSINESS ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

DATE BUSINESS BEGAN UNDER CURRENT NAME: \_\_\_\_\_ BUSINESS TAX ID: \_\_\_\_\_

HAS ANY COMPANY REFUSED TO ISSUE BONDS FOR ANY PURPOSE? YES  NO  DO YOU HAVE ANY LIENS, CLAIMS, OR JUDGEMENTS AGAINST YOU? YES  NO

HAS APPLICANT EVER FAILED IN BUSINESS? YES  NO  HAS APPLICANT EVER FILED BANKRUPTCY? YES  NO

**IF YES TO ANY, PLEASE EXPLAIN ON A SEPERATE SHEET OF PAPER:**

**SECTION III: ADDITIONAL OWNERS / PARTNERS**

APPLICANT'S NAME: \_\_\_\_\_ SPOUSE NAME \_\_\_\_\_  
 SS#: \_\_\_\_\_ SPOUSE SS# \_\_\_\_\_ HOME PHONE: \_\_\_\_\_  
 RESIDENTIAL ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**STATEMENT OF ASSETS & LIABILITIES AS OF \_\_\_\_\_**

ASSETS		LIABILITIES	
CASH IN BANK	\$	NOTES PAYABLE TO BANKS	\$
CASH ON HAND	\$	NOTES PAYABLE TO OTHERS	\$
STOCKS & BONDS	\$	ACCOUNTS PAYABLE	\$
ACCOUNTS RECEIVABLE	\$	FEDERAL & STATE INCOME TAX DUE	\$
NOTES RECEIVABLE	\$	ALL OTHER TAXES	\$
INVENTORY	\$	ACCRUALS, PAYROLLS, ETC.	\$
CASH VALUE OF LIFE INSURANCE	\$	DUE ON EQUIPMENT	\$
EQUIPMENT	\$	DUE ON REAL ESTATE	\$
REAL ESTATE	\$	OTHER LIABILITIES	\$
OTHER ASSETS	\$	CAPITAL STOCK (IF A CORPORATION)	\$
		SURPLUS & UNDIVIDED PROFITS	\$
<b>TOTAL ASSETS</b>	<b>\$</b>	<b>TOTAL LIABILITIES</b>	<b>\$</b>
		<b>NET WORTH</b>	<b>\$</b>
<b>NAME OF OWNERS</b>	<b>NAME &amp; TITLE OF OFFICERS</b>	<b>PERCENTAGE OF OWNERSHIP</b>	

Completion of this form constitutes permission to obtain consumer information which will be used to determine bonding eligibility This information will be held in the strictest confidence no premium financing will be accepted as premium is earned in full.

**Integrity Bonds Inc**

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**E-Mail info@integritybonds.com**

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