

**SURETY BOND**

**ALABAMA MORTGAGE BROKERS LICENSING ACT  
ALABAMA CONSUMER CREDIT ACT  
ALABAMA SAFE MORTGAGE LICENSING ACT**

**STATE OF ALABAMA**

**BOND NUMBER \_\_\_\_\_**

**KNOW ALL MEN BY THESE PRESENTS,** That we \_\_\_\_\_, as **PRINCIPAL,** and \_\_\_\_\_, a Company organized and existing under the laws of the State of \_\_\_\_\_, with its principal place of business located at \_\_\_\_\_, and duly licensed to do business in the State of Alabama, as **SURETY,** are held and firmly bound unto the State of Alabama for the sum of \_\_\_\_\_, for the payment of which, well and truly to be made and done, we bind ourselves, our heirs, executors, successors, administrators, and assigns, jointly and severally by these presents for each licensed office.

**WHEREAS,** said Principal, as required by the Alabama Mortgage Brokers Licensing Act (§ 5-25-1 et seq., Code of Alabama 1975), the Alabama Consumer Credit Act (§ 5-19-1 et seq., Code of Alabama 1975), and/or the Alabama Secure and Fair Enforcement for Mortgage Licensing Act (Act #2009-627) has made application to the Superintendent of the Alabama State Banking Department for a license to engage in the mortgage lending or mortgage broker business as authorized by one or more of said laws, at a place of business located at:

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(City) (County) (State)

and is required by one or more of said laws to furnish this bond. Any additions or deletions in number and/or amount are to be furnished in a rider from the Surety.

Bond forms change; this is for educational purposes only.

**NOW, THEREFORE, THE CONDITION OF THE OBLIGATION IS SUCH,** that if the said Principal for the period from \_\_\_\_\_ to December 31, \_\_\_\_\_ shall well and truly operate its business in conformity with, and shall abide by, the laws of this State regulating the mortgage lending and mortgage broker business, and all other applicable statutes of the State of Alabama, and shall faithfully perform the duties and obligations pertaining to the business so licensed and the prompt payment of any judgment which may be recovered against such Principal on account of damages or other charges arising directly or collectively from any violation of the provisions of the Alabama Consumer Credit Act, the Alabama Mortgage Broker Licensing Act, or the Alabama Secure And Fair Enforcement for Mortgage Licensing Act, and shall pay and discharge any and all indebtedness for which such Principal may become liable under the provisions of the said laws and any other applicable laws, statutes, or ordinances of the State of Alabama, or of any county, municipality, or other political subdivision thereof, this obligation shall be void; otherwise this obligation shall remain in full force and effect.

**PROVIDED FURTHER,** that this obligation may be continued for any subsequent calendar year by a continuation certificate duly signed and sealed by the Principal and Surety, with any changes in number and amount to be made by the Surety and acknowledged by the Principal.

**IN WITNESS WHEREOF,** the Principal and Surety have executed this bond on this the \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

**PRINCIPAL:** \_\_\_\_\_

By: \_\_\_\_\_

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

Before me, the undersigned authority, a Notary Public, duly commissioned and qualified in and for the County of \_\_\_\_\_, State of \_\_\_\_\_, on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ personally appeared \_\_\_\_\_ well known to me to be the person who executed the above and foregoing bond as PRINCIPAL, thereon, on the date said

instrument bears, and for the purpose and consideration therein expressed. IN WITNESS WHEREOF, I have hereunto affixed my hand and seal on the day and date first above written. (Notary Seal)

\_\_\_\_\_, Notary Public  
My Commission Expires \_\_\_\_\_

**SURETY:** \_\_\_\_\_

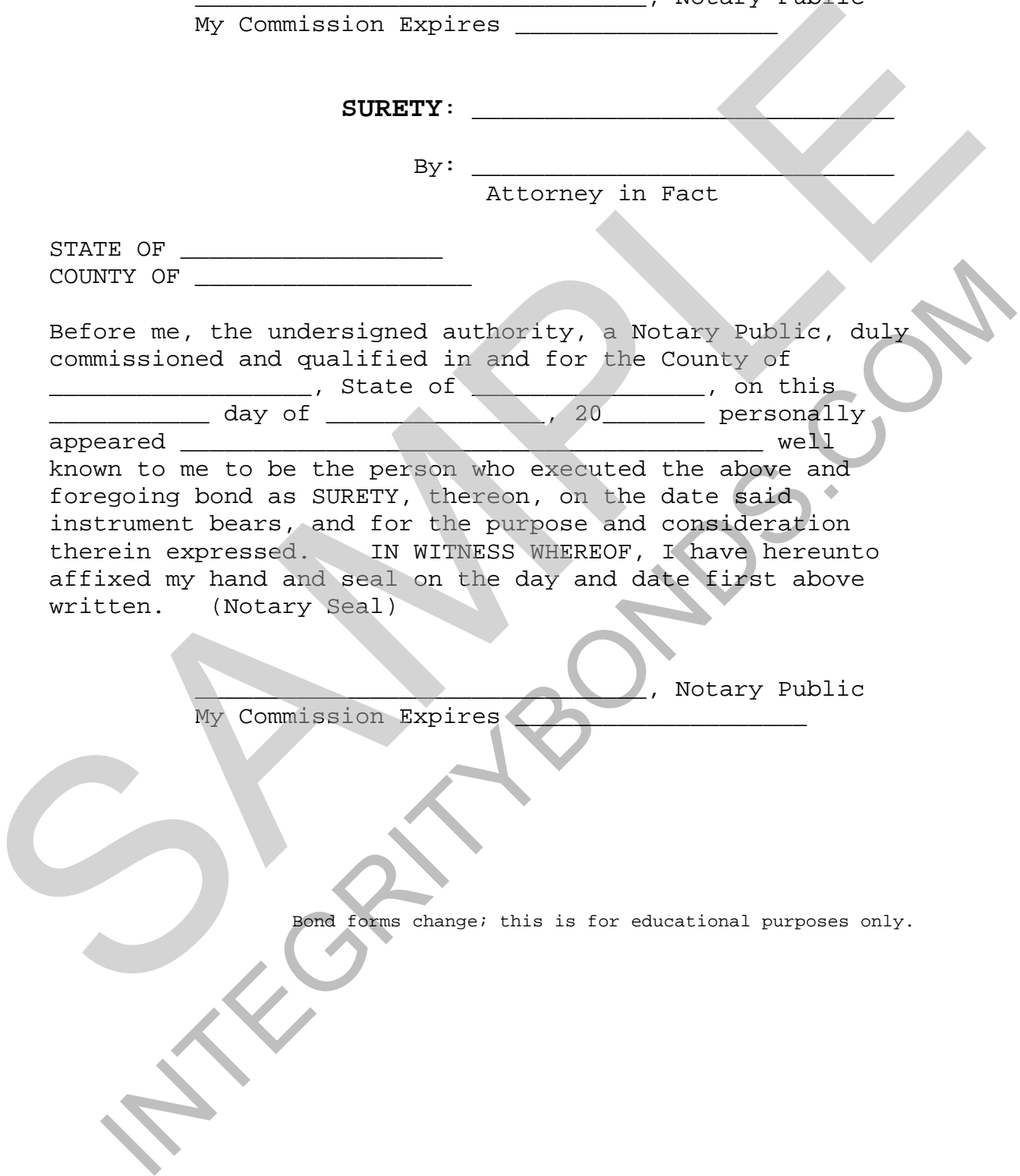
By: \_\_\_\_\_  
Attorney in Fact

STATE OF \_\_\_\_\_  
COUNTY OF \_\_\_\_\_

Before me, the undersigned authority, a Notary Public, duly commissioned and qualified in and for the County of \_\_\_\_\_, State of \_\_\_\_\_, on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ personally appeared \_\_\_\_\_ well known to me to be the person who executed the above and foregoing bond as SURETY, thereon, on the date said instrument bears, and for the purpose and consideration therein expressed. IN WITNESS WHEREOF, I have hereunto affixed my hand and seal on the day and date first above written. (Notary Seal)

\_\_\_\_\_, Notary Public  
My Commission Expires \_\_\_\_\_

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# INTEGRITY SURETY BOND APPLICATION

AGENCY NAME: \_\_\_\_\_ AGENCY CONTACT \_\_\_\_\_  
 AGENCY PHONE: \_\_\_\_\_ AGENCY FAX: \_\_\_\_\_ E-MAIL: \_\_\_\_\_  
 AGENCY ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**CURRENT OR EXPIRING QUOTE WE ARE LOOKING TO BEAT?** \_\_\_\_\_

**NAME OF PREVIOUS SURETY COMPANY WRITING THE BOND?** \_\_\_\_\_

**SECTION I: BOND APPLIED FOR:**

TYPE OF BOND: \_\_\_\_\_ EFF.DATE: \_\_\_\_\_ EXP.DATE: \_\_\_\_\_  
 TYPE OF COMPANY CORP  LLC  DBA  PARTNERSHIP  AMOUNT: \_\_\_\_\_  
 OBLIGEE: \_\_\_\_\_  
 OBLIGEE ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**SECTION II: GENERAL INFORMATION**

APPLICANT'S NAME: \_\_\_\_\_ SPOUSE NAME \_\_\_\_\_  
 SS#: \_\_\_\_\_ SPOUSE SS# \_\_\_\_\_ HOME PHONE: \_\_\_\_\_  
 RESIDENTIAL ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)  
 BUSINESS NAME: \_\_\_\_\_  
 BUSINESS PHONE: \_\_\_\_\_ BUSINESS FAX: \_\_\_\_\_ Client E-mail \_\_\_\_\_  
 BUSINESS ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)  
 DATE BUSINESS BEGAN UNDER CURRENT NAME: \_\_\_\_\_ BUSINESS TAX ID: \_\_\_\_\_  
 HAS ANY COMPANY REFUSED TO ISSUE BONDS FOR ANY PURPOSE? YES  NO  DO YOU HAVE ANY LIENS, CLAIMS, OR JUDGEMENTS AGAINST YOU? YES  NO   
 HAS APPLICANT EVER FAILED IN BUSINESS? YES  NO  HAS APPLICANT EVER FILED BANKRUPTCY? YES  NO

**IF YES TO ANY, PLEASE EXPLAIN ON A SEPERATE SHEET OF PAPER:**

**SECTION III: ADDITIONAL OWNERS / PARTNERS**

APPLICANT'S NAME: \_\_\_\_\_ SPOUSE NAME \_\_\_\_\_  
 SS#: \_\_\_\_\_ SPOUSE SS# \_\_\_\_\_ HOME PHONE: \_\_\_\_\_  
 RESIDENTIAL ADDRESS: \_\_\_\_\_  
(Street) (City) (State) (Zip)

**STATEMENT OF ASSETS & LIABILITIES AS OF \_\_\_\_\_**

ASSETS		LIABILITIES	
CASH IN BANK	\$	NOTES PAYABLE TO BANKS	\$
CASH ON HAND	\$	NOTES PAYABLE TO OTHERS	\$
STOCKS & BONDS	\$	ACCOUNTS PAYABLE	\$
ACCOUNTS RECEIVABLE	\$	FEDERAL & STATE INCOME TAX DUE	\$
NOTES RECEIVABLE	\$	ALL OTHER TAXES	\$
INVENTORY	\$	ACCRUALS, PAYROLLS, ETC.	\$
CASH VALUE OF LIFE INSURANCE	\$	DUE ON EQUIPMENT	\$
EQUIPMENT	\$	DUE ON REAL ESTATE	\$
REAL ESTATE	\$	OTHER LIABILITIES	\$
OTHER ASSETS	\$	CAPITAL STOCK (IF A CORPORATION)	\$
		SURPLUS & UNDIVIDED PROFITS	\$
<b>TOTAL ASSETS</b>	<b>\$</b>	<b>TOTAL LIABILITIES</b>	<b>\$</b>
		<b>NET WORTH</b>	<b>\$</b>
<b>NAME OF OWNERS</b>	<b>NAME &amp; TITLE OF OFFICERS</b>	<b>PERCENTAGE OF OWNERSHIP</b>	

Completion of this form constitutes permission to obtain consumer information which will be used to determine bonding eligibility This information will be held in the strictest confidence no premium financing will be accepted as premium is earned in full.

**Integrity Bonds Inc**

**Toll Free: (866) 420-2613**

**Local (480) 626-8916**

**E-Mail [info@integritybonds.com](mailto:info@integritybonds.com)**

**Fax: (602) 674-8235**